Form PTO-1449 (modified)			ATTY. DKT. NO. 5181-59700		SERIAL NO. 09/740,542			
List of Patents and Publications For Applicant's Information Disclosure Statement			APPLICANT: Howard et al.			GROUP: 2151		
(Use several sheets if necessary)			FILING DA	ATE: December 18, 2000				
		U	.S. PATENT	DOCUMENTS				
EXAM. INITIALS	REF. DES/	POPULATENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
		TOTE S O CHUL						
	13							
		TRADEMARKS						
						2		
					٩	3 4		
						000	70	
						Con T		
						<i>6</i>		
		E∪B.	EICN PATE	NT DOCUMENTS		0		
EXAM.	REF.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB	TRANSLATION	
INITIALS	DES.	DOCUMENT NOMBER	21112		02.700	CLASS	YES/NO	
		OTHER ART (Ir	cluding Autho	or, Title, Date, Pertinent Pa	iges, Etc.)		
4.5	A1	Gray et al., <u>Transaction Processing: Concepts and Techniques</u> , © 1993 Morgan Kaufmann Publishers, Inc., pp. 5-7.						
7.6	A8	Gibson et al., "NASD Scalable Storage Systems," June 1999, pp. 1-6.						
7.6	A3	"VERITAS File System Performance," © 1996 VERITAS Software Corp., pp. 1-41.						
Y-G	A4	"File System Performance," © 1996 VERITAS Software Corp., pp. 1-17.						
Y-G	A5	"File System," © 1996 VERITAS Software Corp., pp. 1-17.						
YiG	A6	Hitz et al., "File System Design for an NFS File Server Appliance," © 1994 The USENIX Assoc., pp. 1-23.						
y.6	A7	"Object Based Storage Devices: A Command Set Proposal," October 1999, pp. 1-65.						

EXAMINER: YEMANE GEREZGIHER

DATE CONSIDERED:

04/13/2004

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

Page 1 of 1

Form PTO-1449 (Middiffied) ATTY. DKT. NO. 5181-59700 SERIAL NO. 09/740,542 List of Patents and Publications RADECY APPLICANT: Howard et al. GROUP: 2151 For Applicant's Information Disclosure Statement FILING DATE: December 18, 2000 (Use several sheets if necessary) U.S. PATENT DOCUMENTS FILING DATE IF **CLASS SUB** DATE NAME REF. DOCUMENT NUMBER EXAM. APPROPRIATE **CLASS INITIALS** DES. APR 0 5 2004 Technology Center 2100 FOREIGN PATENT DOCUMENTS TRANSLATION **COUNTRY CLASS SUB** DOCUMENT NUMBER DATE EXAM. REF. YES/NO **CLASS INITIALS** DES. D2 1 049 029 11/2/00 EP -G OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) David F. Nagle, et al., "Network Support for Network-Attached Storage," Dept. of Electrical and Computer D1 Y.G Engineering, Carnegie Mellon Univ., 6 pages, Proceedings Hot Interconnect; 8/1999.

EXAMINER: YEMANE GEREZGIMER

DATE CONSIDERED:

04/09/2004

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

Form PTO-1449 (modified)		ATTY. DKT. NO. 5181-59700		SER	SERIAL NO. 09/740,542			
List	of Pate	nts and Publications						
For Applicant's Information			APPLICANT: Howard et al.		GRO	GROUP: 2151		
Disclosure Statement Use several sheets (Anecessary)			FILING DATE: December 18, 2000					
E C	SIR L	- A		DOCUMENTS				
EXAM		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB	FILING DATE IF	
INITIALS	DES.	NO	DATE	111 1112		CLASS	APPROPRIATE	
\$1 \$ V. GG	Cl	5,761,677	6/2/98	Senator, et al.				
ViG	C2	5,828,876	10/27/98	Fish, et al.				
F ? 2 1/2003	<u>g</u>			<u></u>				
, 6				Al				
RADEMBER					APR 23	2003		
			-			ontor 0100		
					lology C	enter 2100		
		FOR	EIGN PATEN	T DOCUMENTS				
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO	
Y. G	C3	94/29807	12/22/94	WO				
	1	OTHER ART (I	ncluding Author	, Title, Date, Pertinent Pag	es, Etc.)			
\	C4			<u> </u>		of the 4 th Ann	nual Linux	
Y.G		Best, S., "JFS Log. How the Journaled File System Performs Logging," Proceedings of the 4 th Annual Linux Showcase and Conference, - October 10, 2000, XP-002231204, 6 pages.						
¥.6	C5	Gray, J. et al., "Transaction Processing: Concepts and Techniques," 1994, Morgan Kaufman Publishers, Inc., XP-002231205, 5 pages.						
7.6	C6	Srinivasan, B. et al., "Recoverable File System for Microprocessor Systems," Microprocessors and Microsystems, IPC Business Press LTD. Longd, vol. 9, no. 4, May 1, 1995, XP-002026284, pp. 179-183						
, , , , , , , , , , , , , , , , , , , ,					-			
				A - 100 - 10				
				•				

EXAMINER: YEMAN

GEREZGIHER

DATE CONSIDERED: 04 09 2004

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

Form PTO-1449 (Fodified)

List of Patents and Publications

For Applicant's Information ADEMA

Disclosure Statement
(Use several sheets if necessary)

ATTY. DKT. NO. 5181-59700

SERIAL NO. 09/740,542

APPLICANT: Howard et al.

GROUP: 2151

FILING DATE: December 18, 2000

	SC SCVCI2	ar sneets if necessary)		DOCUMENTS			
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
4.8	B1	6,128,623	10/3/00	Mattis, et al.		- "	.,
				1 mg			-
					- R	ECEIVE	D
					IVIA	AR 2:0 20	03
					Techno	logy Center	2100
) 		FOR	EIGN PATE	NT DOCUMENTS			
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
						<u> </u>	
		OTHER ART (I	ncluding Autho	or, Title, Date, Pertinent P	ages, Etc.)		
Y. G	B2	Coyne, et al., "An Introduction to the Mass Storage System Reference Model, Version 5," Twelfth IEEE Symposium on Mass Storage Systems, 1993, pp. 47-53.					
Y.G-	В3	Karamooz, et al., "Distributed Information Storage Architecture," Twelfth IEEE Symposium on Mass Storage Systems, 1993, pp. 145-155.					
Y. G	B4	International Search Report for PCT/US 01/48733, mailed February 20, 2003, 7 pages.					
							
· · · · · ·			4.44.6				***************************************
			<u> </u>				

EXAMINIER:	YEMANE	GEREZGIHER
EXAMINEK:	LEWILKE	O EXCEPTION (1)

DATE CONSIDERED:

04/09/2004

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.